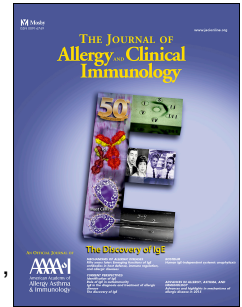


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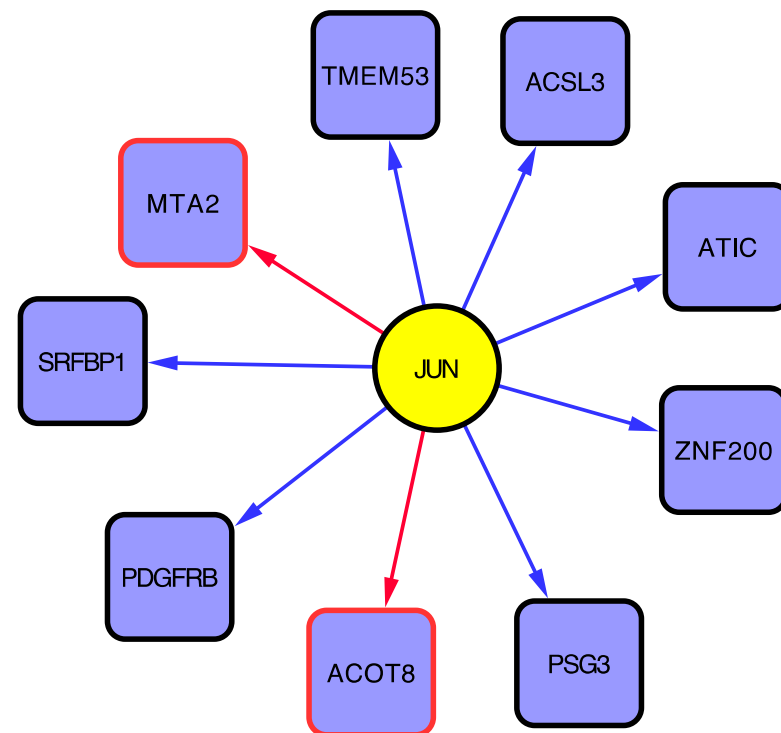
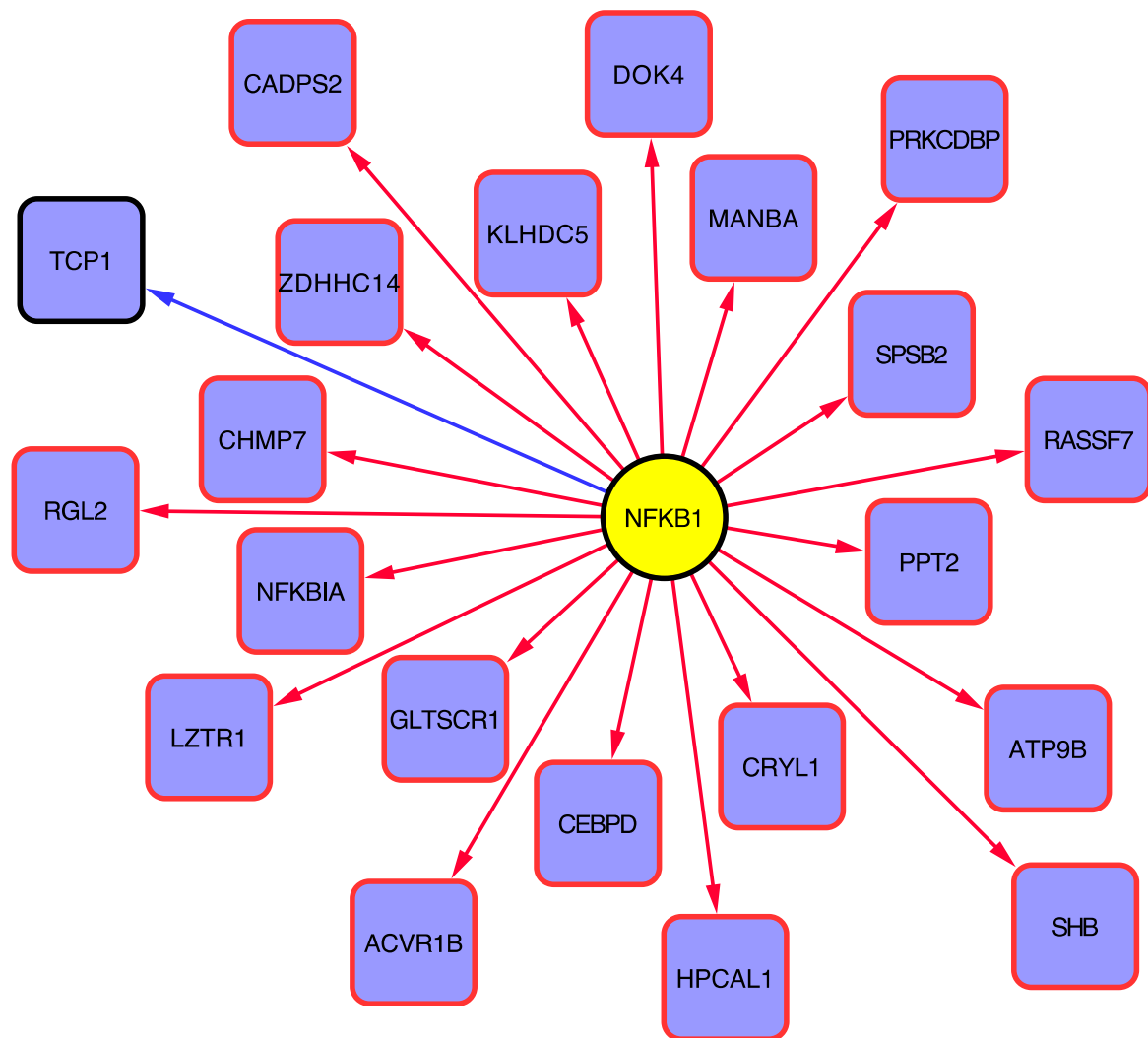
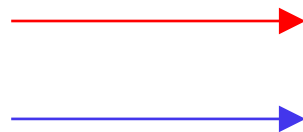
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Corticosteroid Responder
Corticosteroid Non-Responder



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Differential Connectivity of Gene Regulatory Networks Distinguishes Corticosteroid Response in Asthma

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22 **Abstract**

23 **Background:** Variations in drug response between individuals have prevented us from
24 achieving high drug efficacy in treating many complex diseases, including asthma.
25 Genetics plays an important role in accounting for such inter-individual variations in drug
26 response. However, systematic approaches for addressing how genetic factors and their
27 regulators determine variations in drug response in asthma treatment are lacking.

28 **Methods:** We used PANDA (Passing Atttributes between Networks for Data
29 Assimilations) to construct the gene regulatory networks associated with good responders
30 and poor responders to inhaled corticosteroids based on a subset of 145 Caucasian
31 asthmatic children who participated in the Childhood Asthma Management Cohort
32 (CAMP). PANDA utilizes gene expression profiles and published relationships among
33 genes, transcription factors (TFs), and proteins to construct the directed networks of TFs
34 and genes. We assessed the differential connectivity between the gene regulatory network
35 of good responders vs. that of poor responders.

36 **Results:** When compared to poor responders, the network of good responders has
37 differential connectivity and distinct ontologies (e.g., pro-apoptosis enriched in network
38 of good responders and anti-apoptosis enriched in network of poor responders). Many of
39 the key hubs identified in conjunction with clinical response are also cellular response
40 hubs. Functional validation demonstrated abrogation of differences in corticosteroid
41 treated cell viability following siRNA knockdown of two TFs and differential
42 downstream expression between good-responders and poor-responders.

43 **Conclusions:** We have identified and validated multiple transcription factors influencing
44 asthma treatment response. Our results show that differential connectivity analysis can
45 provide new insights into the heterogeneity of drug treatment effects.

46
47 **Keywords:** pharmacogenomics; gene expression; inhaled corticosteroids; apoptosis;
48 system biology

49
50 **Key Messages:** Transcription factors showing differential connectivity between
51 network of TFs and their targeting genes for ICS-good-responders and that for poor-
52 responders have potential to characterize the response to corticosteroid treatment.

53

54 **Capsule Summary:** Almost half of asthmatic patients do not respond well to standard
55 treatment. We proposed a network approach to identify key transcription factors and their
56 target genes that may determine differential drug response in asthmatic patients.
57
58

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59 Introduction

60 Asthma is the most common chronic airway disease among children and young adults(1)
61 characterized by airflow obstruction in the small airways of affected individuals. The
62 prevalence of asthma and its mortality have almost doubled in the last 20 years, imposing
63 an increasing financial burden to medical care system. Despite availability of many
64 standard treatments, including β 2-agonists, corticosteroids, and leukotriene antagonists(2-
65 4), to control asthmatic symptoms, almost half of asthmatic patients do not see
66 improvement in symptoms. Such variation in drug response are attributed to many
67 factors (5, 6), including genetics (7-10). Pharmacogenomics studies assess how genetic
68 and genomic variation affects an individual's response to drug treatment(11-15).

69
70 Corticosteroids are the backbone of asthma therapies. They reduce inflammation through
71 both gene activation and suppression. The molecular mechanisms of action of
72 corticosteroids have been clearly described(16). Failure of any of the steps along the
73 route where corticosteroids pass from the cell membrane to their target genes may result
74 in non-responsiveness. For example, abnormalities in glucocorticoid receptor number,
75 glucocorticoid receptor binding, or abnormalities in glucocorticoid-glucocorticoid
76 receptor complex binding to DNA may result in poor response to corticosteroid
77 therapy(17-20). Despite these insights, the molecular mechanisms underlying a given
78 asthma patient's poor-responsiveness to corticosteroid medications remain unclear.

79
80 Gene differential expression, single nucleotide polymorphism, and expression
81 quantitative trait loci (eQTL) analyses have identified multiple genes associated with
82 asthma drug responsiveness(21-24). However, these analyses typically focus on one gene
83 at a time. Gene expression itself is regulated by several mechanisms, such as transcription
84 factors, microRNAs, and DNA methylation. For complex diseases such as asthma, genes
85 and their regulators are believed to work together; network approaches investigating
86 asthmatic drug response should include consideration of both the genes and their
87 upstream regulators.

88
89 It is well known that (1) Transcription factors (TFs) play key roles in regulating gene
90 expression; (2) TFs usually work together to co-regulate gene expression; and (3) genes
91 with similar functions tend to co-express. Using this information, we have previously
92 developed a message-passing model, called PANDA (Passing Attributes between
93 Networks for Data Assimilations)(25), which assimilates information from multiple,
94 complementary data-types in order to reverse engineer a regulatory network. In this study,
95 we apply PANDA to a set of immortalized B-cells, which were derived from inhaled
96 corticosteroid treated asthmatics who were part of a large clinical trial. We hypothesize
97 that assessing the regulatory networks of poor- and good clinical responders using
98 PANDA could uncover the molecular mechanisms by which drug response to inhaled
99 corticosteroids (ICS) of each patient is determined.

100

101 Methods

102 *Asthma Cohort.* CAMP (Childhood Asthma Management Program) was a multicenter,
103 randomized, double-masked clinical trial designed to determine the long-term effects of
104 three inhaled treatments for mild to moderate childhood asthma: budesonide (a

105 glucocorticoid used daily) and albuterol (a short-acting beta-agonist bronchodilator used
106 as needed); nedocromil (a non-steroid anti-inflammatory agent used daily) and albuterol;
107 and placebo and albuterol(26). The primary outcome measure was post-bronchodilator
108 forced expiratory volume in one-second percent of predicted ($FEV_1\%$), observed over 4-
109 to 6-year period. For this project, we selected 47 good-responders and 48 poor-responders
110 from the 145 Caucasian CAMP subjects with available immortalized B-cells (LCLs) gene
111 expression(15) . The definitions of good-responders and poor-responders were based on
112 the change in $FEV_1\%$ between baseline and 2-month follow-up. We used the first tertile
113 ($Q1=1.10\%$) and the third tertile ($Q3=9.78\%$) of FEV_1 change calculated based on the
114 145 subjects to partition the 145 subjects to 3 groups. The 48 subjects with FEV_1 change
115 $<Q1$ were defined as poor-responders; the 47 subjects with FEV_1 change $> Q3$ were
116 defined as good-responders.

117

118 LCL Microarray Experiment.

119 As previously described(15) immortalized B-cell lines (LCL) derived from 145 asthmatic
120 subjects from the CAMP clinical trial(26, 27) were cultured in RPMI 1640 medium and
121 treated with dexamethasone (10^{-6} M) or with sham (ethanol) for 6 hours(22, 28, 29).
122 After treatment, total RNAs were extracted and applied for microarray profiling. Gene
123 expression levels of 22,184 gene probes for each sample were measured by using
124 Illumina HumanRef-8 V2 chip (Illumina, San Diego, CA).

125

126 Gene expression QC.

127 The gene expression data contained 201 arrays for dexamethasone-treated LCLs and 193
128 arrays for sham-treated LCLs. Approximately 10% of subjects had replicate arrays. We
129 first did data quality check for the 2 treatment types of arrays separately. We then pooled
130 the paired samples together and did \log_2 transformation and quantile normalization.

131

132 After data quality checking, 20,917 gene probes in 17,193 genes for 145 pairs of arrays
133 were kept. The \log_2 difference of expression levels between dexamethasone-treated cell
134 lines and sham-treated cell lines was used to measure the effect of drug treatment on the
135 gene expression. Further details about data quality control can be found in the
136 Supplementary Documents.

137

138 PANDA algorithm.

139 PANDA (Passing Attributes between Networks for Data Assimilation) is a message-
140 passing model to construct directed networks between TFs and genes using multiple
141 sources of genomic information to predict regulatory relationships(25). The nodes in a
142 PANDA network are TFs or genes. The directed edges extend from TFs to genes. Each
143 edge has a weight value indicating the probability that a TF regulates a gene.

144

145 To seed the PANDA algorithm we used a mapping between TF motifs and target genes.
146 Descriptions of the creation of this mapping can be found in Glass et al. (2014 and
147 2015)(30, 31). This mapping includes 255,051 pairs of (TF, gene) and 13,979 unique
148 genes.

149

150 There are 13,191 genes shown in both our gene expression data and the mapping file.
 151 These 13,191 genes correspond to 240,939 pairs of (TF, gene) and correspond to 16,368
 152 gene probes in our gene expression data.

153

154 *Statistical Analysis.*

155

156 We first evaluated the effect of glucocorticoid on gene expression by comparing the
 157 PANDA network for the 145 dexamethasone-treated cell lines with the network for their
 158 corresponding controls, 145 sham-treated cell lines. Specifically, we obtained a PANDA
 159 network for dexamethasone-treated cell lines and a PANDA network for sham-treated
 160 cell lines, respectively. In each network, edges connect TFs and their targeted genes. Due
 161 to the differences of gene expression between dexamethasone-treated cell lines and sham-
 162 treated cell lines, the edges and edge weights between the two networks are not the same.
 163 We call a TF as differentially connected if the TF connects to different sets of genes
 164 between the two PANDA networks. Differential connectivity between the 2 PANDA
 165 networks (dexamethasone vs. sham) was revealed. We then compared the PANDA
 166 networks between the 47 good-responders and 48 poor-responders, focusing on the
 167 differential connectivity between the \log_2 -difference in expression response
 168 ($\log_2(\text{dexamethasone}) - \log_2(\text{sham})$) as the expression metric for a given individual.

169

170 Denote $d_i = w_{i,\text{resp}} - w_{i,\text{nonresp}}$, where $w_{i,\text{resp}}$ is the edge weight for the i -th pair of (TF, gene)
 171 for the good responders and $w_{i,\text{nonresp}}$ is the edge weight for the corresponding pair for the
 172 poor responders. We constructed the good-responder network of TFs and their targeted
 173 genes by adding edges to (TF, gene) pairs corresponding to the largest 10000 d_i . We then
 174 constructed the poor-responder network of TFs and their targeted genes by adding edges
 175 to (TF, gene) pairs corresponding to the smallest 10000 d_i . Denote S_g as the set of TFs in
 176 the good-responder network. Denote S_p as the set of TFs in the poor-responder network.
 177 For the common TFs in both S_g and S_p , we calculated the number of edges that a TF has
 178 for good-responder network and poor-responder network, separately. Denote them as
 179 $n\text{Edge}(g)$ and $n\text{Edge}(p)$, respectively. We then calculated the difference of the edges
 180 $n\text{Diff} = n\text{Edge}(g) - n\text{Edge}(p)$ and ratio of edges $n\text{Ratio} = n\text{Edge}(g)/n\text{Edge}(p)$ for each TF.
 181 The difference gives an absolute magnitude, while the ratio provides a gene specific
 182 difference in the magnitude of differential regulation for a TF. For differentially
 183 connected TFs (i.e. TFs in both good-responder network and poor-responder network),
 184 we tested if they are differentially expressed between good responders and poor
 185 responders using two sample t test.

186

187 To assess the statistical significance of the ratio of edges, we performed a permutation
 188 analysis. Specifically, we performed 1000 randomizations wherein we randomly divided
 189 LCLs into two groups and generated two corresponding networks. Denote $S_g(r)$ and $S_p(r)$
 190 as the set of TFs in the top 10000 pairs of (TF, gene) in these two “random” networks. As
 191 above, we identified the TFs in $S_g(r)$ and $S_p(r)$, calculated the number of edges for those
 192 TFs in $S_g(r)$ and $S_p(r)$, denoted as $n\text{Edge}(gr)$ and $n\text{Edge}(pr)$, respectively, and
 193 determined the ratio of edges, $n\text{Edge}(gr)/n\text{Edge}(pr)$, for each TF. For each TF with a ratio
 194 greater than 1, we counted the total number of permutations where
 195 $n\text{Edge}(gr)/n\text{Edge}(pr) > n\text{Edge}(g)/n\text{Edge}(p)$; for TFs with a ratio less than 1, we counted the

196 total number of permutations where $nEdge(gr)/nEdge(pr) < nEdge(g)/nEdge(p)$. We then
197 divided by the number of permutations in which that TF appears in the top (TF, gene)
198 pairs to estimate how many times “by chance” one would expect to find a ratio more
199 extreme than what was observed.

200

201 *Pathway Enrichment Analysis.*

202 We obtained for each network the set of genes that were regulated by the TFs with
203 differential connectivity (i.e., TFs with large absolute difference of edge weights between
204 the network of good-responders and that of poor-responders). We then compared the
205 enriched pathways of the 2 sets of genes by using the functional annotation tool: the
206 Database for Annotation, Visualization and Integrated Discovery (DAVID)(32, 33). We
207 expected that the 2 sets of enriched pathways would be different.

208

209 *Gene silencing of TFs and dexamethasone treatment in LCLs*

210 The TFs having most differential regulation may determine if a patient is a good-
211 responder of ICS treatment or not. We chose to validate two key TFs (*NFKB1* and *JUN*)
212 with a different ratio of edges in good vs. poor responders using a knock down
213 experiment. These 2 TFs were at polar ends of the ratio of the numbers of edges that a TF
214 has in good-responder network to those in poor-responder network.

215

216 We hypothesized that knocking down the *NFKB1* paralog (*RELA*) or *JUN* would result in
217 modulation of the difference between poor-responders and good-responders. To validate
218 this hypothesis, we cultured LCLs from 7 good responders and 7 poor responders in
219 RPMI 1640 medium (Life Technologies) supplemented with 15% fetal bovine serum
220 (FBS). One siRNA with best knock down efficiency in three individual siRNAs (Life
221 Technologies) targeting *JUN* or *RELA* was chosen for the gene silencing experiment.
222 Gene targeting siRNA and negative control siRNA were transfected into LCL cell lines
223 using 4D-Nucleofector X kit (Lonza) with Nucleofector Program (EC-117). Optimal
224 amount of siRNA (20-100 pmol siRNA / 10^6 cells) were transfection in each reaction.
225 Twenty-four hours after transfection, cells were seeded into serum free RPMI 1640
226 medium to starve for 24 hours followed by dexamethasone or vehicle control treatment (1
227 μ M) for 2 hours. Total RNA was extracted from 7 good responder cell lines and 7 poor
228 responder cell lines with or without dexamethasone treatment. By RT-PCR, we measured
229 expression levels of 4 down-stream genes (*CEBPD*, *MANBA*, *PPT2*, and *TCP1*) for
230 *NFKB1* silencing, 5 down-stream genes (*ACOT8*, *ACSL3*, *MTA2*, *PDGFR8*, and
231 *TMEM53*) of *JUN*, and one house-keeping gene (*GAPDH*). *RELA* and *JUN* were
232 measured for detecting the knock down efficiency. We also measured expression of
233 *NR3C1* gene that encodes the glucocorticoid receptor to determine whether there is
234 endogenous expression difference of NR3C1 among good and poor responders. We
235 generated histograms of the knock-down efficiencies of *RELA* and *JUN* across subjects.
236 We also performed western blotting to confirm TFs knockdown efficiency in LCL lines.
237 The gene expression level of a gene in PCR analysis is inversely proportional to CT level,
238 where CT stands for cycles to reach to threshold. For a given gene, let $\Delta CT =$
239 $CT_{gene} - CT_{GAPDH}$, where CT_{gene} is the CT level of the gene and CT_{GAPDH} is the
240 CT level of *GAPDH*. For each down-stream gene, we performed general linear model
241 analysis to test if ΔCT levels for good-responders are different from those for poor-

242 responders after dexamethasone treatment, adjusted for knock-down status (with TFs
243 siRNA knock-down versus control siRNA knock-down)..

244

245 Noting the key difference in apoptosis in the ontology analysis (**Figure 3** and
246 **Supplemental Table 2**), that is, pro-apoptosis enriched in network of good responders
247 and anti-apoptosis enriched in network of poor responders, we also measured cell
248 viability in LCL lines after dexamethasone treatment. The cells were transfected by two
249 different *JUN* siRNA (*JUN* siRNA-1 and *JUN* siRNA-2), *RELA* siRNA and control
250 siRNA. After starving for 24 hours, each siRNA transfected cells were split into 8 wells
251 and each 4 wells were treated with 0 μ M (ethanol, solution vehicle) or 50 μ M
252 dexamethasone for 24 hours. The cell viability was detected by alamarBlue assay kit
253 (ThermoFisher DAL1100). The results were normalized to relative ethanol treated cells.

254

255 **Results**

256 **Table 1** shows the population characteristics for the 145 subjects, the 48 poor-responders,
257 and the 47 good-responders, separately. There are no significant differences between
258 good-responders and poor-responders for age, gender, or baseline FEV₁ as a percent of
259 predicted (FEV₁%). The two groups have significant difference between Δ FEV₁ (the
260 change in FEV₁% between baseline and 2-month follow-up) based on the definition of
261 the two groups.

262

263 The networks of (TF, gene) for dexamethasone-treated LCLs and for sham-treated LCLs
264 are shown in **Figures 1A and 1B**, respectively. The complete set of TFs and their
265 targeted genes are shown in **Supplemental Table 1**. The differential connectivity
266 between the two networks indicates the effect of dexamethasone treatment on the
267 transcription factor regulation of genes.

268

269

270 We next evaluate the differential connectivity between the network of good-responders
271 and that of poor responders. It would be difficult to visualize differential connectivity if
272 we used all top 10000 (TF, gene) pairs. Hence, we first illustrate the differential
273 connectivity by using only the top 50 pairs of (TF, gene) that had the largest absolute
274 differences of edge weights between the 2 networks (**Figure 2**). In **Figure 2**, the red
275 edges are from the network of good-responders and the blue edges are from the network
276 of poor-responders. **Figure 2** indicates that the 2 networks demonstrate extensive
277 difference in connectivity. Interestingly, seven out of the nine hot spots in **Supplemental**
278 **Figure 1** (networks of dexamethasone treatments) are also in **Figure 2** (networks of
279 responsiveness), suggesting that key regulators of overall corticosteroid response are also
280 important in regulating the clinical extremes of response. The target genes in good
281 responders are listed in **Supplemental Table 3** and the targets in poor responders are
282 listed in **Supplemental Table 4**.

283

284 The set S_g (for good responders) contains 32 TFs and the set S_p (for poor responders)
285 contains 35 TFs. There are 31 TFs appearing in both S_g and S_p , including *JUN* and
286 *NFKB1* (**Table 2**). *NFKB1* has the highest ratio ($nEdge(g)/nEdge(p)=20$, $p=0.039$ by
287 permutation analysis) and *JUN* has the lowest ratio ($nEdge(g)/nEdge(p)=0.29$, $p=0.019$

288 by permutation analysis). Among the 31 unique TFs, 6 TFs have permutation p-value <
289 0.05. For each of the 105 TFs in this study, we performed two-sample t-test to test if a TF
290 is differentially expressed between dexamethasone-treated LCLs and sham-treated LCLs.
291 Fifty-one of the 105 TFs have two-sample t-test p-value < 0.05 (**Supplemental Table 5**).
292 Sixteen of the 31 common TFs are differentially expressed between dexamethasone-
293 treated LCLs and sham-treated LCLs (**Table 2**). Of note, neither *JUN* nor *NFKB1* were
294 differentially expressed between dexamethasone-treated LCLs and sham-treated LCLs.
295 We obtained the QQplot of $-\log_{10}$ (t-test p-value) between non-differentially connected
296 TFs and differentially connected TFs (**Supplemental Figure 3**), which showed no
297 significant difference between the 2 sets of TFs in terms two-sample t-test p-values. We
298 also performed Fisher's exact test to assess if the differential expression of TFs (TFs with
299 two-sample t-test p-value < 0.05 versus TFs with p-value \geq 0.05) is associated with
300 differential connection (TFs that are in both good-responder network and poor-responder
301 network versus TFs that are not in both networks). Sixteen TFs are both differentially
302 expressed (DE) and differentially connected (DC); 39 are neither DE nor DC; 35 TFs are
303 DE, but not DC; and 15 TFs are DC, but not DE. The p-value for the Fisher's exact test is
304 0.8308. Both parallel boxplots and Fisher's exact test showed no association between
305 differential expression and differential connectivity of TFs in two networks of good
306 responders and poor responders.

307

308 DAVID functional annotation analysis showed that (1) the 164 genes that are only in S_g
309 (for good responders) were enriched in 67 biological processes, including immune
310 response and pro-apoptosis; and (2) the 225 genes that are only in S_p (for poor responders)
311 were enriched in 33 biological processes, including anti-apoptosis. There are 2
312 overlapping biological processes (DNA metabolic process and DNA repair). **Figure 3** is
313 the heatmap of $-\log_{10}$ (p-value) for enriched GO biological processes, where the p-values
314 are for testing if a biological process is enriched or not based on the list of genes in the
315 top 500 pairs of (TF, gene).

316

317 We next experimentally assessed the validity of regulatory networks built using PANDA.
318 We hypothesized that these key TFs that differentiate the good responder network from
319 poor responder network contribute to differential effects of dexamethasone on cells. We
320 assessed functional response along two lines: differential targeting of downstream gene
321 expression between good and poor responders and differential cell viability (since
322 apoptosis was a key phenotype differentiating response status in our pathway
323 annotations). Among 31 TFs revealed by PANDA method (**Table 2**), we choose *NFKB1*
324 and *JUN* for validation. Knock down efficiencies of *RELA* and *JUN* across subjects are
325 shown in **Supplemental Figure 2**, which indicates that *RELA* knock-down efficiencies
326 are good (median=80%, range=71% - 85%) and *JUN* knock-down is less efficient
327 (median=32%, range=8% - 48%). Western blotting image about the knock-down
328 efficiency is shown in **Supplemental Figure 5**.

329

330 The results of functional validation analysis of differential targeting showed that one of
331 the four down-stream genes (*CEBPD*) of *NFKB1* has statistically lower delta CT level
332 (i.e. higher expression level) in good responders than in poor responders and that one of
333 the five tested down-stream genes (*TMEM53*) of *JUN* has statistically higher delta CT

334 level (i.e., lower expression level) in good responders than in poor responders, after
335 dexamethasone treatment and adjusting for siRNA knockdown (**Table 3 and Figure 4**).
336 The parallel boxplots of delta CT levels for all genes are shown in **Supplemental Figure**
337 **6** and **Supplemental Figure 7**. For the cell viability assays, we compared the mean of the
338 baseline-adjusted cell viability of good-responder with that of poor-responder for each of
339 the 4 cell types (negative control, *RELA* siRNA, *JUN* siRNA -1 and *JUN* siRNA-2) with
340 50 μ M dexamethasone treatment. **Supplemental Figure 4a** shows the histogram of the
341 cell viability adjusted for baseline for the 4 replicates. For negative control, there exists
342 significant difference between good-responders and poor-responders in terms of cell
343 viability. However, after knocking down *RELA* or *JUN*, the difference markedly
344 decreased (**Supplemental Figure 4b**).

345

346 Discussion

347 In this article, we showed that (1) PANDA can be applied to gene expression data
348 generated from immortalized B-cell lines; (2) many TFs hubs obtained from (TF, gene)
349 networks characterizing the corticosteroid treatment effects on gene expression globally
350 also appeared in (TF, gene) networks characterizing the ICS-responsiveness specifically;
351 (3) the network of good responders and that of poor responders have different
352 connectivity and distinct ontologies; and (4) one down-stream gene (*CEBPD*) of *NFKB1*
353 and one down-stream gene (*TMEM53*) of *JUN* are differentially expressed between good
354 responders and poor-responders adjusting for siRNA knock-down, after dexamethasone
355 treatment.

356

357

358 In the analysis of corticosteroid response alone, we identified nine key “hub” TFs, each
359 with at least nine differentially connected edges between treated and untreated (sham)
360 cells. This suggests that these TFs may be transcriptional regulatory “hot spots”. A
361 literature search indicated that there is biologic plausibility for a role in glucocorticoid
362 signaling for at least seven of the nine TFs (**Supplemental Figure 1**)(34-41). For
363 example, *ETS1* is a regulator of human glucocorticoid receptor 1A promoter(42).

364

365 The differential connectivity between the network of (TF, gene) we obtained for ICS-
366 good responders and that for ICS-poor responders revealed multiple TFs that may help to
367 explain why some asthmatic children do not respond well to ICS treatment. From a
368 mechanistic perspective, it is assuring that many of these TFs also play a role in the
369 differential connectivity between the network of dexamethasone-treated cells and that of
370 sham-treated cells. Indeed, seven of the nine key “hub” TFs in differentially connected in
371 dexamethasone vs. sham were also present in the analysis of clinical responders (c.f.
372 **Supplemental Figure 1**). These include *GATA2*, *ETS1*, *YY1*, and *NFIC1*, which all have
373 well documented roles in corticosteroid biology(35, 36, 38-40). These findings support
374 the notion that factors innately involved in the global response to corticosteroids may also
375 modulate treatment response differences between subjects. Further studies of these TFs
376 might help find a way to improve the efficiency of current ICS treatment.

377

378 A key feature of PANDA is the emphasis on differential connectivity as compared to
379 differential expression of the transcription factors. Therefore, it is not surprising that the

380 same sets of TFs regulated different sets of downstream genes between the good
381 responders and poor responders. Hence, the two networks had different ontologies. For
382 the good responders, these included regulation of the immune response and metabolic
383 processes (**Figure 3**). However, the most striking of the ontologic differences was that
384 the network within the good clinical responders supported “pro-apoptosis” pathways,
385 whereas the network derived from the poor responders indicated the presence of “anti-
386 apoptosis” pathway regulation. Corticosteroid induced apoptosis is a known key
387 mechanism related to resolution of asthmatic inflammation and helps to differentiate
388 severe vs. non-severe asthma(43-46). Our findings add to this literature by eliciting the
389 differential interactions between key transcription factors and their downstream targets as
390 they modulate corticosteroid induced apoptosis in good and poor responders.

391
392 Given this background, we chose to validate key TFs with a different ratio of edges in
393 good vs. poor responders using both a downstream targeting approaches and a cellular
394 apoptosis assay. In this functional validation analysis, we knocked-out two key TFs
395 (*NFKB1* and *JUN*) from our network analysis using siRNA. *NFKB1* (nuclear factor of
396 kappa light polypeptide gene enhancer in B-cells 1) encodes a 105 kD protein which can
397 undergo cotranslational processing by the 26S proteasome to produce a 50 kD protein.
398 Inappropriate activation of *NFKB1* has been associated with a number of inflammatory
399 diseases while persistent inhibition of *NFKB1* leads to inappropriate immune cell
400 development or delayed cell growth. *JUN* (jun proto-oncogene) encodes a protein that is
401 highly similar to the viral protein, and which interacts directly with specific target DNA
402 sequences to regulate gene expression. Molecular network analysis of endometriosis
403 reveals a role for c-Jun-regulated macrophage activation(47). In the functional validation
404 analysis, we identified one downstream target (*CEBPD*) of *NFKB1* and one downstream
405 targets (*TMEM53*) of *JUN* that are statistically differentially expressed following
406 dexamethasone treatment in good vs. poor responders, supporting differential
407 connectivity of the transcription factors leading to different downstream gene targeting,
408 as exemplified by differential expression, between good and poor ICS responders. We
409 also observed that without knocking-out the *NFKB1* paralog (*RELA*) or *JUN*, the cell
410 viability of good responders is statistically greater from that of poor responders.
411 Importantly, such differences were largely abrogated after depletion of *RelA* or *JUN* by
412 siRNA. Overall, this functional data suggest that *RelA* and *JUN* are playing important
413 roles that determine differential cellular response to dexamethasone in these two groups
414 of LCLs and, through generalization, to the differences in clinical response underlying
415 the two groups. Thus, a malfunction of *NFKB1* or *JUN* may explain the poor-
416 responsiveness of ICS treatment and that modulation of the connectivity related to these
417 genes may be of therapeutic benefit. Further investigation is warranted.

418
419
420 Our study has a couple of limitations. One limitation of the present study is that the
421 permutation p-values in **Table 2** are modest. We obtained Benjamini-Hochberg (BH)
422 corrected p-values for the 31 TFs that we identified as targeted in the top/bottom edges to
423 control for multiple testing (**Supplemental Table 6**). Although no TFs are significant at a
424 BH-pvalue<0.05, the same six TFs we noted as nominally significant previously

425 (including *FEV*, *GATA3*, *JUN*, *NFATC2*, *NFKB1*, and *SPI1*) were identified at a BH-
426 pvalue<0.15.

427

428 Additionally, when we investigated the siRNA mediated changes in expression, we
429 identified only one gene for each of the two TFs (*NFKB1* and *JUN*). While only one
430 downstream gene for each TF was validated, there are plausible biologic and
431 experimental reasons for this. From an experimental perspective, we note that the
432 network was built upon >200 LCL cell lines and we only choose 7 cell lines for
433 validation due to feasibility reasons. Therefore, it is likely the sum total of target genes as
434 inferred by the network analysis as derived from many samples may not be co-regulated
435 by the specific TF in exactly the same fashion as a single cell line under the same
436 condition. Biologically, we also note that while there is usually a one-to-many
437 relationship between a given TF and its downstream targets, there is often also a co-
438 regulation of gene expression by multiple TFs. Therefore it is entirely possible that
439 siRNA knockdown of a TF may not significantly alter the expression of such a gene.
440 Both of these points suggest that the regulation of gene expression remains complex and
441 that functional validation of networks remains imperfect.

442

443 To assess if the results of the network analysis is robust to the choice of the number of
444 top edges used to define the networks, we calculated log2 ratio of the number of edges
445 that a TF has in good-/poor- responder network for different numbers of top edges used
446 (**Supplemental Table 7**). We note that the ratios and especially the direction of
447 enrichment are largely stable across different numbers of edges, especially as the number
448 of selected edges increases (leading to more robust estimates). In addition, the higher
449 thresholds (more edges) are likely more reliable in a sense since there is more overall
450 information with greater numbers of edges (even if these edges are not the highest weight
451 edges).

452

453 In permutation study, some TFs might be more easily found in the top and bottom edges
454 compared to others. However, detailed examination shows that of the 31 TFs in **Table 2**,
455 25 appear in both the positive and negative edges in all 1000 permutations and 29 appear
456 in at least 800 permutations. The last column of **Supplemental Table 6** includes the
457 number of permuted pairs of networks for which each TF appears in both the positive and
458 negative set of edges.

459

460 While we used immortalized B-cells for this analysis, these cells were directly derived
461 from clinical subjects participating in CAMP. Moreover, we recently described that the
462 glucocorticoid receptor (the primary receptor for ICS), upon stimulation with
463 dexamethasone, functions differently in these cells in good clinical inhaled corticosteroid
464 responders vs. poor responders(48). Using immortalized cell lines allows an analysis to
465 be repeated many times on genetically identical cells, which is desirable and cost-
466 effective for repeatable scientific experiments. One potential limitation of using
467 immortalized cell lines is that immortalization might alter the biology of the cell.
468 However, B-lymphocytes are crucial inflammatory mediators in asthma. Moreover, Ding
469 et al.(49) recently reported that 70% of cis-eQTL in LCLs is shared with skin.
470 Furthermore, our analysis showed the differential connectivity between good-responders

471 and poor-responders. Combined, this evidence suggests that the results from a LCL
472 analysis may also have large overlap with those from an analysis based on primary cells.

473

474 **Conclusion**

475 In conclusion, we have used PANDA to elucidate differences between good vs. poor
476 clinical corticosteroid responders in asthma. Our functional results from two key
477 transcription factors suggest that differential drug response networks built by PANDA
478 method are valid; further validation of other novel transcription regulators may yield
479 additional biologic and translational insights into corticosteroid response. Our results
480 indicate that biology between responders and poor-responders does not necessarily
481 emanate from differential expression, but may instead be from differential connectivity.

482

483

484

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Figure legends:

Figure 1. The network of TFs and their targeting genes output by PANDA algorithm based on dexamethasone-treated LCSs (Figure 1a) and based on sham-treated LCLs (Figure 1b). The edges are directed from TFs (circles) to their targeting genes (rectangles). The 6 key TFs that are highlighted in Suppl. Figure 1 are labeled here.

Figure 2. We illustrate the differential connectivity by using the networks of top 50 (TF, gene) pairs in terms of the absolute difference of the edge weights between the 2 networks. The red edges are for the network of responders; the blue edges are for the network of poor-responders.

Figure 3. Heatmap of $-\log_{10}(\text{p-value})$ for enriched biological processes, where the p-values are for testing if a biological process is enriched or not based on the list of genes in the top 500 pairs of (TF, gene). Yellow color means larger $-\log_{10}(\text{p-value})$ compared to red color.

Figure 4. Parallel boxplots of normalized gene expression across different combination of Response status (good vs poor) and knock-down status (control knock-down vs siRNA knock-down) for RELA knock-down experiment and JUN knock-down experiment, Respectively.

Table 1. Population characteristics for the 145 Caucasian CAMP children

	All	Poor responders	Good-responders	p-value (nonresp vs resp)
Variable	N=145	N=48	N=47	
Age (year)	8.81±2.13	8.49±2.09	8.99±2.20	0.29
Female (n, %)	64, 44%	23, 48%	25, 53%	0.76**
FEV ₁ %	1.62±0.45	1.62±0.43	1.51±0.47	0.18
ΔFEV ₁ *	7.13±14.66	-5.22±6.77	22.12±14.86	<0.01

*: the change in FEV₁% between baseline and 2-month follow-up.

** : For comparing female proportion between poor-responders and good-responders, we applied chi-squared test; for other comparisons, we applied Wilcoxon's rank sum test.

Table 2. The characteristics of the 31 unique TFs in both Sg and Sp.

TF	nEdge(g)	nEdge(p)	nDiff	nOverlap	nRatio	pval.Perm	stat.DiffExprs	pval.DiffExprs
TFAP2A	565	287	278	0	1.97	0.309	-2.42	1.67E-02
GATA3	548	817	-269	0	0.67	0.042	3.65	3.60E-04
SP1	893	630	263	2	1.42	0.249	-4.09	6.84E-05
SOX5	749	995	-246	6	0.75	0.160	-1.21	2.29E-01
ARID3A	760	998	-238	3	0.76	0.164	-15.15	2.17E-32
NFATC2	423	633	-210	0	0.67	0.022	-1.52	1.30E-01
PAX5	346	151	195	0	2.29	0.327	-0.96	3.37E-01
NKX2-5	273	373	-100	0	0.73	0.207	-1.20	2.33E-01
PRRX2	546	643	-97	1	0.85	0.163	-0.44	6.64E-01
AHR	292	386	-94	0	0.76	0.153	1.78	7.67E-02
BRCA1	230	138	92	0	1.67	0.075	5.48	1.68E-07
YY1	237	146	91	0	1.62	0.110	0.42	6.77E-01
SPI1	251	163	88	0	1.54	0.033	-8.13	1.29E-13
ARNT	223	309	-86	0	0.72	0.081	-2.52	1.27E-02
NFIC	339	263	76	0	1.29	0.147	-4.05	8.03E-05
NKX3-1	228	303	-75	0	0.75	0.257	-2.85	4.90E-03
SOX10	352	280	72	1	1.26	0.210	-0.60	5.50E-01
EGR1	328	371	-43	0	0.88	0.374	-5.31	3.67E-07
MAFG	60	18	42	0	3.33	0.054	-7.61	2.48E-12
NFE2L1	60	18	42	0	3.33	0.054	-6.26	3.68E-09
GATA2	701	660	41	0	1.06	0.288	-0.25	8.07E-01
ETS1	650	609	41	0	1.07	0.293	8.42	2.33E-14
SPIB	91	60	31	0	1.52	0.132	-18.52	4.10E-41
KLF4	46	18	28	0	2.56	0.381	-0.92	3.60E-01
FEV	34	11	23	0	3.09	0.046	-0.45	6.55E-01
ZNF354C	697	677	20	0	1.03	0.360	1.29	2.00E-01
NFKB1	20	1	19	0	20.00	0.039	-1.38	1.69E-01

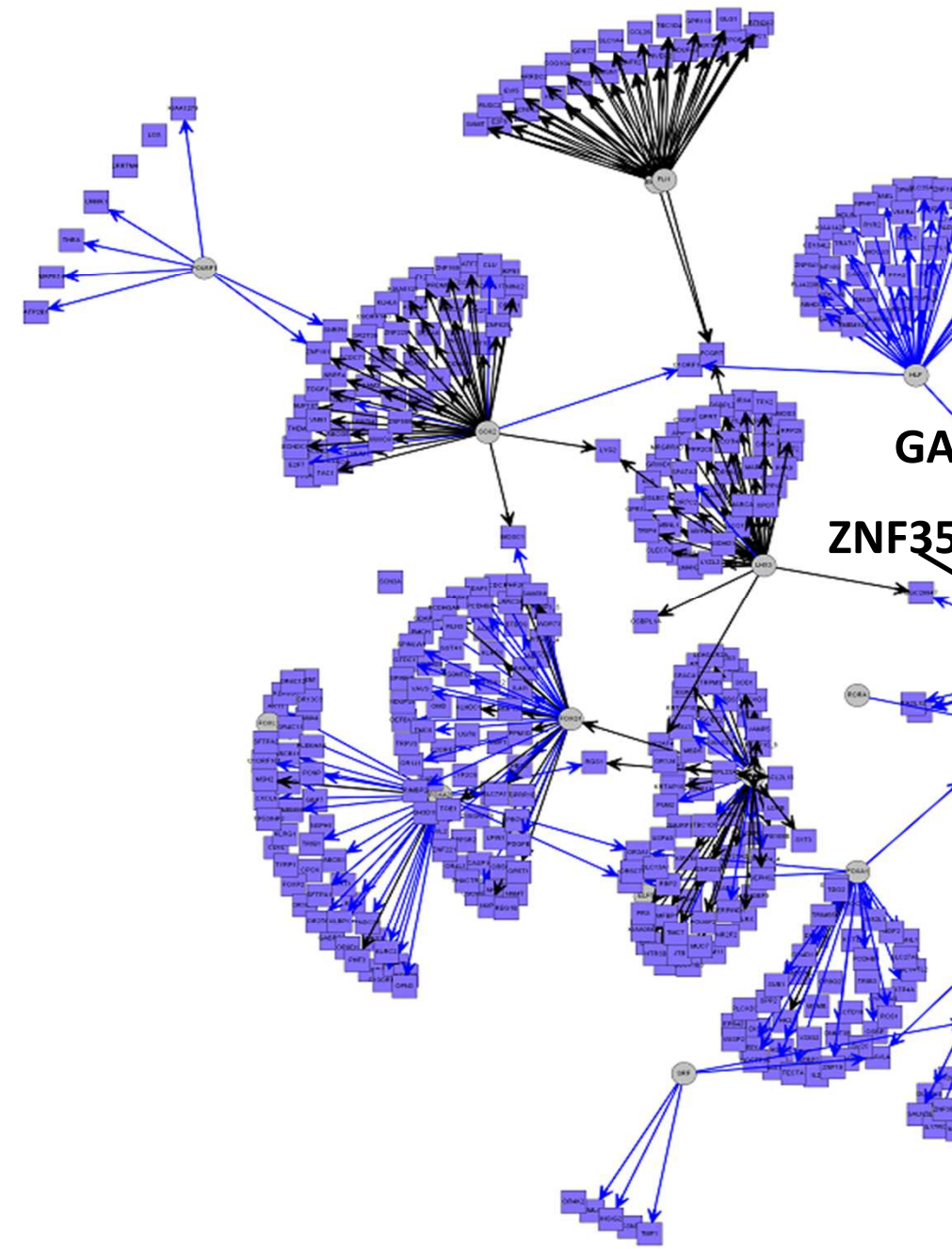
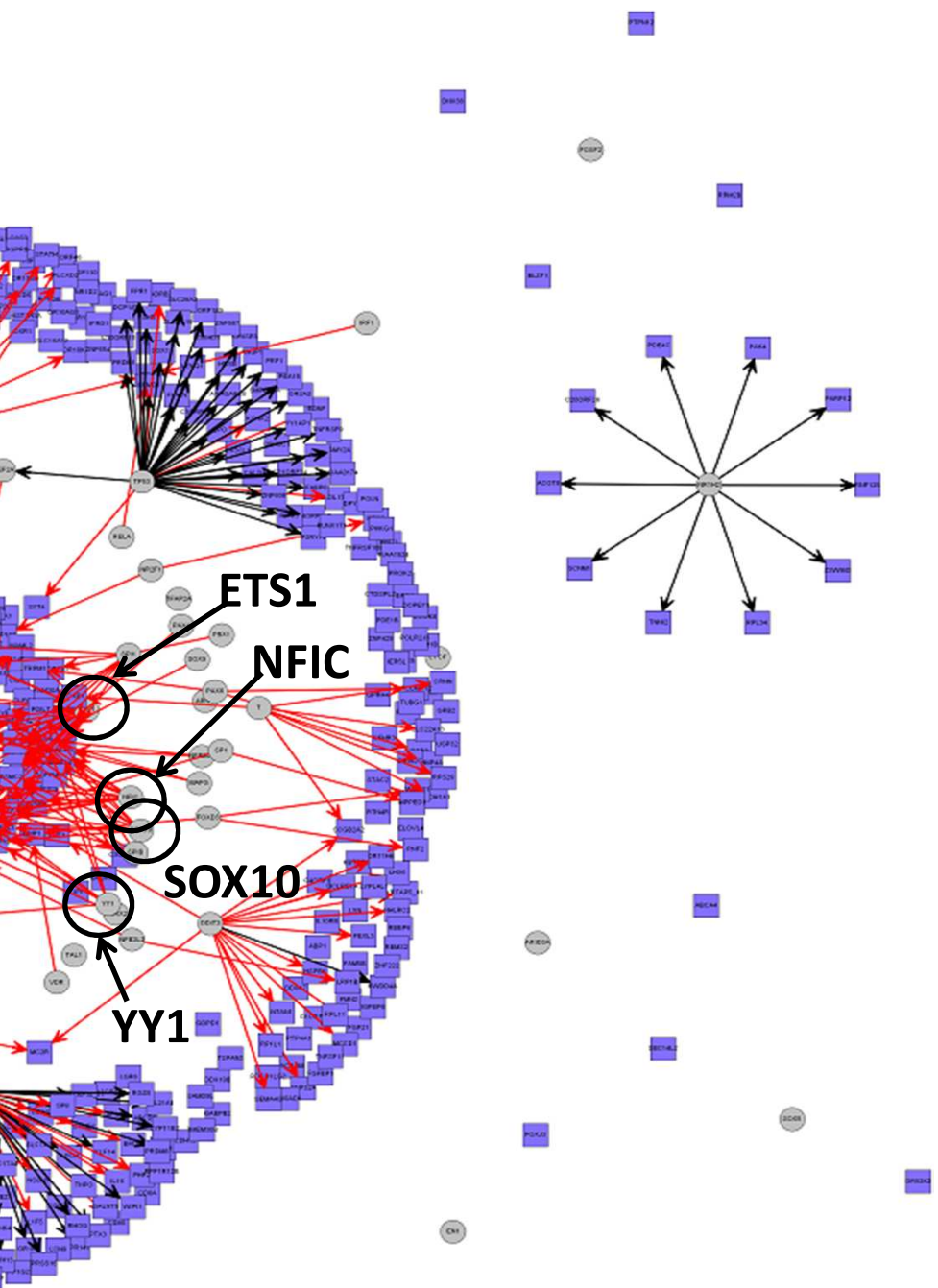
GABPA	34	17	17	0	2.00	0.225	-4.20	4.56E-05
CTCF	13	4	9	0	3.25	0.409	-0.71	4.76E-01
JUN	2	7	-5	0	0.29	0.019	-1.22	2.24E-01
NFYA	7	8	-1	0	0.88	0.451	-4.87	2.77E-06

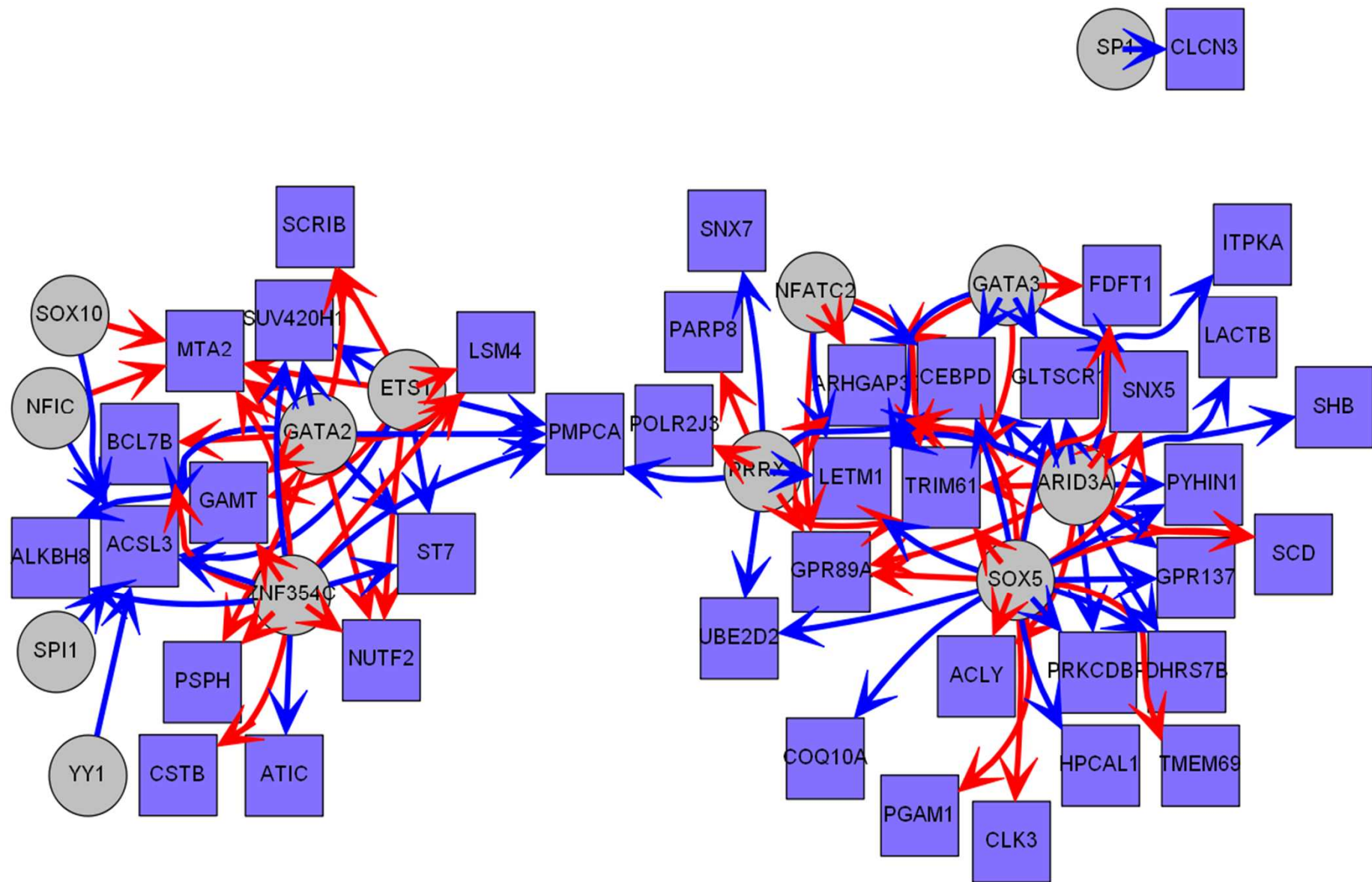
nDiff=nResp-nNonResp. nRatio=nResp/nNonResp. pval.Perm=p-value for the significance of nRatio by permutation. Six TFs (GATA3, NFATC2, SPI1, FEV, NFKB1, and JUN) have pval.Perm<0.05. stat.DiffExprs and pval.DiffExprs are test statistic and p-value for testing if a TF is differentially expressed between dexamethasone-treated cell lines and sham-treated cell lines by using two sample t test. Positive stat.DiffExprs indicates mean expression levels of the TF in dexamethasone-treated cell lines is higher than that in sham-treated cell lines. Sixteen TFs have pval.DiffExprs < 0.05.

Table 3. P-values for testing if expression levels of a down-stream gene normalized by GAPDH for good-responders are different from those for poor-responders.

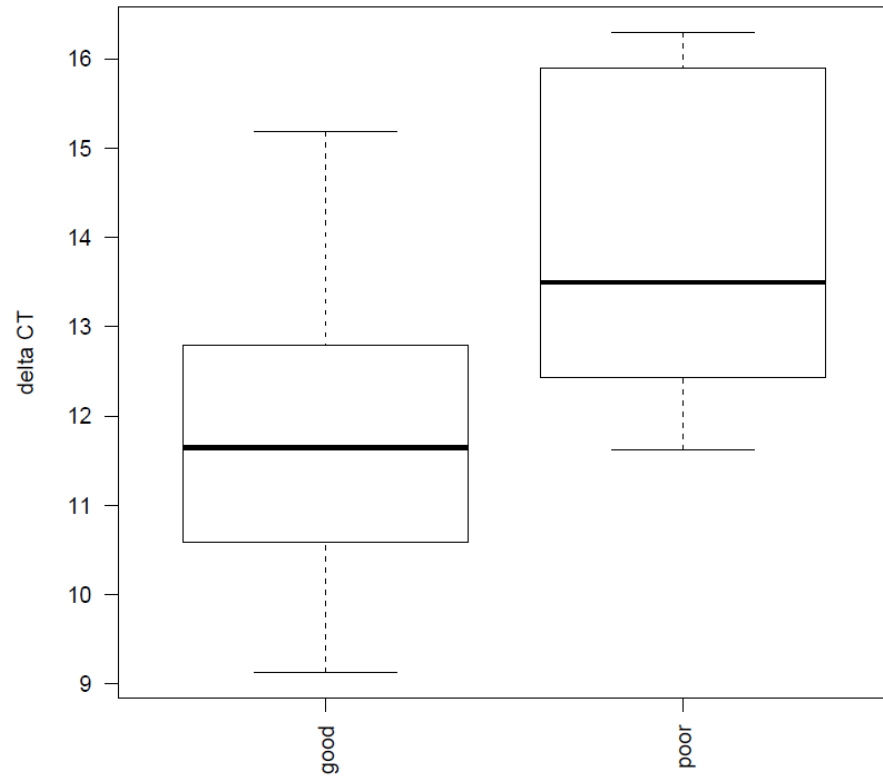
TF	down-stream gene	statistic	p-value
RELA	MANBA	0.75	4.59E-01
RELA	NR3C1	1.48	1.45E-01
RELA	PPT2	0.00	1.00E+00
RELA	TCPI1	-1.95	5.60E-02
RELA	CEBPD	4.77	1.47E-05
JUN	NR3C1	-0.64	5.27E-01
JUN	MTA2	-2.01	5.00E-02
JUN	TMEM53	-2.72	8.88E-03
JUN	ACOT8	-1.38	1.73E-01
JUN	PDGFR8	-0.71	4.83E-01
JUN	ACSL3	-1.30	2.00E-01

Statistic: the t-value for testing if the expression level in good responder is different from that in poor-responder by using general linear model. Negative value of 'statistic' means the expression level in good responder is higher than that in poor-responder.





CEBPD (TF: RELA)



TMEM53 (TF: JUN)

